

A66 Northern Trans-Pennine Project

TR010062

1.7 Application Document Tracker (Rev 4)

Planning Act 2008

Infrastructure Planning (Examination Procedure) Rules 2010

14 February 2023

Infrastructure Planning

Planning Act 2008

**The Infrastructure Planning
(Examination Procedure)
Rules 2010**

A66 Northern Trans-Pennine Project
Development Consent Order 202x

1.7 Application Document Tracker (Rev 4)

Planning Inspectorate Scheme Reference	TR010062
Application Document Reference	NH/EX/1.7
Author:	A66 Northern Trans-Pennine Project Team, National Highways

Version	Date	Status of Version
Rev 1	16 December 2022	Deadline 1
Rev 2	15 January 2023	Deadline 2
Rev 3	24 January 2023	Deadline 3
Rev 4	14 February 2023	Deadline 4

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1 Application Document Tracker

- 1.1.1 The following table provides a list of documents submitted by National Highways to support the application to the Planning Inspectorate for the A66 Northern Trans-Pennine Project Development Consent Order (DCO).
- 1.1.2 Since submission of the DCO application, a number of the original application documents have been revised (shown in grey) and new documents have been produced. This document tracks the submission of these documents to the Planning Inspectorate. This is a live document and will be updated throughout the Examination period and submitted at each deadline.
- 1.1.3 This version of the Application Document Tracker is correct and up to date as of Examination Deadline 4.

Table 1.1 Application Document Tracker

Application Document Reference	Examination Document Library Reference	Name of Document	Version	Examination Deadline /Submission Date
Volume 1 – Application Information				
1.1	APP-001	Cover Letter	Latest	21/06/2022
1.2	APP-002	Section 55 Checklist	Latest	21/06/2022
1.3	APP-003	Application Form	Latest	21/06/2022
1.4	APP-004	Guide to the Application	Latest	21/06/2022
1.5	APP-005	Application Glossary	Latest	21/06/2022
1.6	APP-006	Electronic Index	Latest	21/06/2022
1.7 (Rev 1)	REP1-002	Application Document Tracker (this document)	Superseded	Deadline 1
1.7 (Rev 2)	REP2-002	Application Document Tracker (this document)	Superseded	Deadline 2
1.7 (Rev 3)	REP3-002	Application Document Tracker (this document)	Superseded	Deadline 3
1.7 (Rev 4)		Application Document Tracker (this document)	Latest	Deadline 4
Volume 2 – Our Proposals				
2.1	APP-007	Understanding the DCO Application	Latest	21/06/2022
2.2	APP-008	Case for the Project	Latest	21/06/2022
2.3	APP-009	Project Design Report	Latest	21/06/2022
2.4	APP-010	Walking, Cycling and Horse-Riding Proposals	Latest	21/06/2022
2.5	APP-011	General Arrangement Drawings Scheme 0102 M6 Junction 40 to Kemplay Bank	Latest	21/06/2022

Application Document Reference	Examination Document Library Reference	Name of Document	Version	Examination Deadline /Submission Date
2.5	APP-012	General Arrangement Drawings Scheme 04 Penrith to Temple Sowerby	Latest	21/06/2022
2.5	APP-013	General Arrangement Drawings Scheme 0405 Temple Sowerby to Appleby	Latest	21/06/2022
2.5	APP-014	General Arrangement Drawings Scheme 06 Appleby to Brough	Latest	21/06/2022
2.5	APP-015	General Arrangement Drawings Scheme 07 Bowes Bypass	Latest	21/06/2022
2.5	APP-016	General Arrangement Drawings Scheme 08 Cross Lanes to Rokeby	Latest	21/06/2022
2.5	APP-017	General Arrangement Drawings Scheme 09 Stephen Bank to Carkin Moor	Latest	21/06/2022
2.5	APP-018	General Arrangement Drawings Scheme 11 A1(M) J53 Scotch Corner	Latest	21/06/2022
2.6	N/A	Application Document not used	N/A	N/A
2.7	APP-019	Environmental Management Plan	Superseded	21/06/2022
2.7 (Rev 2)	REP3-004	Environmental Management Plan (Clean)	Latest	Deadline 3
2.7 (Rev 2)	REP3-005	Environmental Management Plan (Tracked)	Latest	Deadline 3
2.7	APP-020	Environmental Management Plan Annex A Constraints Plan	Latest	21/06/2022
2.7	APP-021	Environmental Management Plan Annex B1 Outline Landscape and Ecology Management Plan	Superseded	21/06/2022
2.7 (Rev 2)	REP3-003	Environmental Management Plan Annex B1 Outline Landscape and Ecology Management Plan (Clean)	Latest	Deadline 3
2.7 (Rev 2)	REP3-006	Environmental Management Plan Annex B1 Outline Landscape and Ecology Management Plan (Tracked)	Latest	Deadline 3
2.7	APP-022	Environmental Management Plan Annex B2 Outline Site Waste Management Plan	Superseded	21/06/2022
2.7 (Rev 2)	REP3-007	Environmental Management Plan Annex B2 Outline Site Waste Management Plan (Clean)	Latest	Deadline 3
2.7 (Rev 2)	REP3-008	Environmental Management Plan Annex B2 Outline Site Waste Management Plan (Tracked)	Latest	Deadline 3
2.7	APP-023	Environmental Management Plan Annex B3 Detailed Heritage Mitigation Strategy	Superseded	21/06/2022
2.7 (Rev 2)	REP3-009	Environmental Management Plan Annex B3 Outline Heritage Mitigation Strategy (Clean)	Latest	Deadline 3
2.7 (Rev 2)	REP3-010	Environmental Management Plan Annex B3 Outline Heritage Mitigation Strategy (Tracked)	Latest	Deadline 3
2.7	APP-024	Environmental Management Plan Annex B4 Air Quality and Dust Management	Latest	21/06/2022
2.7	APP-025	Environmental Management Plan Annex B5 Noise and Vibration Management Plan	Latest	21/06/2022
2.7	APP-026	Environmental Management Plan Annex B6 Public Rights of Way Management Plan	Latest	21/06/2022
2.7	APP-027	Environmental Management Plan Annex B7 Ground and Surface Water Management	Superseded	21/06/2022
2.7 (Rev 2)	REP3-011	Environmental Management Plan Annex B7 Ground and Surface Water Management (Clean)	Latest	Deadline 3

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2.7 (Rev 2)	REP3-012	Environmental Management Plan Annex B7 Ground and Surface Water Management (Tracked)	Latest	Deadline 3
2.7	APP-028	Environmental Management Plan Annex B8 Materials Management Plan	Latest	21/06/2022
2.7	APP-029	Environmental Management Plan Annex B9 Soil Management Plan	Superseded	21/06/2022
2.7 (Rev 2)	REP3-013	Environmental Management Plan Annex B9 Soil Management Plan (Clean)	Latest	Deadline 3
2.7 (Rev 2)	REP3-014	Environmental Management Plan Annex B9 Soil Management Plan (Tracked)	Latest	Deadline 3
2.7	APP-030	Environmental Management Plan Annex B10 Construction Worker Travel and Accommodation Plan	Latest	21/06/2022
2.7	APP-031	Environmental Management Plan Annex B11 Community Engagement Plan	Superseded	21/06/2022
2.7 (Rev 2)	REP3-015	Environmental Management Plan Annex B11 Community Engagement Plan (Clean)	Latest	Deadline 3
2.7 (Rev 2)	REP3-016	Environmental Management Plan Annex B11 Community Engagement Plan (Tracked)	Latest	Deadline 3
2.7	APP-032	Environmental Management Plan Annex B12 Skills and Employment Strategy	Latest	21/06/2022
2.7	APP-033	Environmental Management Plan Annex B13 Construction Traffic Management Plan	Latest	21/06/2022
2.7	APP-034	Environmental Management Plan Annex B14 Site Establishment Plan	Latest	21/06/2022
2.7	APP-035	Environmental Management Plan Annex B15 Invasive Non-Native Species (INNS)	Superseded	21/06/2022
2.7 (Rev 2)	REP3-017	Environmental Management Plan Annex B15 Invasive Non-Native Species (INNS) (Clean)	Latest	Deadline 3
2.7 (Rev 2)	REP3-018	Environmental Management Plan Annex B15 Invasive Non-Native Species (INNS) (Tracked)	Latest	Deadline 3
2.7	APP-036	Environmental Management Plan Annex C1 Working in and Near SAC Method Statement	Superseded	21/06/2022
2.7 (Rev 2)	REP3-019	Environmental Management Plan Annex C1 Working in and Near SAC Method Statement (Clean)	Latest	Deadline 3
2.7 (Rev 2)	REP3-020	Environmental Management Plan Annex C1 Working in and Near SAC Method Statement (Tracked)	Latest	Deadline 3
2.7	APP-037	Environmental Management Plan Annex C2 Working in Watercourses Method Statement	Superseded	21/06/2022
2.7 (Rev 2)	REP3-021	Environmental Management Plan Annex C2 Working in Watercourses Method Statement (Clean)	Latest	Deadline 3
2.7 (Rev 2)	REP3-022	Environmental Management Plan Annex C2 Working in Watercourses Method Statement (Tracked)	Latest	Deadline 3
2.7	APP-038	Environmental Management Plan Annex C3 Scheduled Monuments Method Statement	Superseded	21/06/2022
2.7 (Rev 2)		Environmental Management Plan Annex C3 Scheduled Monuments Method Statement (Clean)	Latest	Deadline 4

Application Document Reference	Examination Document Library Reference	Name of Document	Version	Examination Deadline /Submission Date
2.7 (Rev 2)		Environmental Management Plan Annex C3 Scheduled Monuments Method Statement (Tracked)	Latest	Deadline 4
2.7	APP-039	Environmental Management Plan Annex C4 Piling Method Statement	Latest	21/06/2022
2.7	APP-040	Environmental Management Plan Annex D Emergency Procedures	Superseded	21/06/2022
2.7 (Rev 2)	REP3-023	Environmental Management Plan Annex D Emergency Procedures (Clean)	Latest	Deadline 3
2.7 (Rev 2)	REP3-024	Environmental Management Plan Annex D Emergency Procedures (Tracked)	Latest	Deadline 3
2.8	APP-041	Environmental Mitigation Maps	Latest	21/06/2022
2.9	APP-042	Mitigation Schedule	Superseded	21/06/2022
2.9 (Rev 2)	REP1-003	Mitigation Schedule (Clean)	Superseded	Deadline 1
2.9 (Rev 2)	REP1-004	Mitigation Schedule (Tracked)	Superseded	Deadline 1
2.9 (Rev 3)	REP3-025	Mitigation Schedule (Clean)	Latest	Deadline 3
2.9 (Rev 3)	REP3-026	Mitigation Schedule (Tracked)	Latest	Deadline 3
Volume 3 – Assessment of our Proposals				
3.1	APP-043	Environmental Statement Non-Technical Summary	Latest	21/06/2022
3.2	APP-044	Environmental Statement Chapter 1 Introduction	Latest	21/06/2022
3.2	APP-045	Environmental Statement Chapter 2 The Project	Latest	21/06/2022
3.2	APP-046	Environmental Statement Chapter 3 Assessment of Alternatives	Latest	21/06/2022
3.2	APP-047	Environmental Statement Chapter 4 EIA Methodology	Latest	21/06/2022
3.2	APP-048	Environmental Statement Chapter 5 Air Quality	Latest	21/06/2022
3.2	APP-049	Environmental Statement Chapter 6 Biodiversity	Latest	21/06/2022
3.2	APP-050	Environmental Statement Chapter 7 Climate	Latest	21/06/2022
3.2	APP-051	Environmental Statement Chapter 8 Cultural Heritage	Latest	21/06/2022
3.2	APP-052	Environmental Statement Chapter 9 Geology and Soils	Latest	21/06/2022
3.2	APP-053	Environmental Statement Chapter 10 Landscape and Visual	Latest	21/06/2022
3.2	APP-054	Environmental Statement Chapter 11 Material Assets and Waste	Latest	21/06/2022
3.2	APP-055	Environmental Statement Chapter 12 Noise and Vibration	Latest	21/06/2022
3.2	APP-056	Environmental Statement Chapter 13 Population and Human Health	Latest	21/06/2022
3.2	APP-057	Environmental Statement Chapter 14 Road Drainage and the Water Environment	Latest	21/06/2022
3.2	APP-058	Environmental Statement Chapter 15 Cumulative Effects	Latest	21/06/2022
3.2	APP-059	Environmental Statement Chapter 16 Summary	Superseded	21/06/2022
3.2 (Rev 2)	AS-010	Environmental Statement Chapter 16 Summary (Clean)	Latest	06/09/2022
3.2 (Rev 2)	AS-110	Environmental Statement Chapter 16 Summary (Tracked)	Latest	06/09/2022
3.3	APP-060	Environmental Statement Figure 1.1 A66 Location and Overview Plan	Latest	21/06/2022

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3.3	APP-061	Environmental Statement Figure 2.1 Order Limits	Latest	21/06/2022
3.3	APP-062	Environmental Statement Figures 2.2 Indicative Site Clearance Boundary	Latest	21/06/2022
3.3	APP-063	Environmental Statement Figures 2.3 Indicative Construction Areas	Latest	21/06/2022
3.3	APP-064	Environmental Statement Figures 2.4 Scoping Boundary versus Order Limits	Latest	21/06/2022
3.3	APP-065	Environmental Statement Figure 5.1 Air Quality Study Area and Constraints	Latest	21/06/2022
3.3	APP-066	Environmental Statement Figure 5.2 Air Quality Baseline	Latest	21/06/2022
3.3	APP-067	Environmental Statement Figure 5.3 Air Quality Construction Phase Assessment	Latest	21/06/2022
3.3	APP-068	Environmental Statement Figure 5.4 Air Quality Operational Phase Assessment	Latest	21/06/2022
3.3	APP-069	Environmental Statement Figure 6.1 Statutory and Non-Statutory Designated Sites	Latest	21/06/2022
3.3	APP-070	Environmental Statement Figure 6.2 Ancient Woodland, Ancient Tree Inventory and Habitats of Priority Importance	Latest	21/06/2022
3.3	APP-071	Environmental Statement Figure 6.3 Phase 1 Habitat Terrestrial Invertebrates	Latest	21/06/2022
3.3	APP-072	Environmental Statement Figure 6.4 Hedgerow and National Vegetation Classification	Latest	21/06/2022
3.3	APP-073	Environmental Statement Figure 6.5 Reptile Habitat Suitability Survey	Latest	21/06/2022
3.3	APP-074	Environmental Statement Figure 6.6 Badger Setts, Field Signs and Badger Bait Marking (CONFIDENTIAL)	Latest	21/06/2022
3.3	APP-075	Environmental Statement Figure 6.7 Bat Activity Surveys (CONFIDENTIAL)	Latest	21/06/2022
3.3	APP-076	Environmental Statement Figure 6.8 Preliminary Bat Roost Assessment – Structures and Trees (CONFIDENTIAL)	Latest	21/06/2022
3.3	APP-077	Environmental Statement Figure 6.9 Bat Trapping and Radio Tracking Map (CONFIDENTIAL)	Latest	21/06/2022
3.3	APP-078	Environmental Statement Figure 6.10 Core Sustenance Zones of Roosts Identified from Survey (CONFIDENTIAL)	Latest	21/06/2022
3.3	APP-079	Environmental Statement Figure 6.11 Bat Roost Survey Map (CONFIDENTIAL)	Latest	21/06/2022
3.3	APP-080	Environmental Statement Figure 6.12 Terrestrial Mammal Survey Map	Latest	21/06/2022
3.3	APP-081	Environmental Statement Figure 6.13 Breeding Bird Territory Map	Latest	21/06/2022
3.3	APP-082	Environmental Statement Figure 6.14 Special Protection Area Bird Territories Map	Latest	21/06/2022
3.3	APP-083	Environmental Statement Figure 6.15 Barn Owl Territory Map (CONFIDENTIAL)	Latest	21/06/2022
3.3	APP-084	Environmental Statement Figure 6.16 Otter and Water Vole Field Survey	Latest	21/06/2022
3.3	APP-085	Environmental Statement Figure 6.17 Fish Habitat Assessment, Fish Survey and River Condition Survey (MoRPh)	Latest	21/06/2022
3.3	APP-086	Environmental Statement Figure 6.18 River Corridor Survey, Macrophyte Survey, Aquatic Invertebrate Survey and White-clawed Crayfish Survey	Latest	21/06/2022

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3.3	APP-087	Environmental Statement Figure 6.19 Indicative Zone of Influence for Ecological Features	Latest	21/06/2022
3.3	APP-088	Environmental Statement Figure 8.1 Heritage Resources Likely to be Subject to Significant Effects	Latest	21/06/2022
3.3	APP-089	Environmental Statement Figure 8.2.1 Historic Landscape Character Areas	Latest	21/06/2022
3.3	APP-090	Environmental Statement Figure 8.8.1 Designated Heritage Resources Within 1km	Latest	21/06/2022
3.3	APP-091	Environmental Statement Figure 8.8.2 Non-Designated Heritage Resources Within 300m	Latest	21/06/2022
3.3	APP-092	Environmental Statement Figure 8.9.1 Research Framework Route Corridor	Latest	21/06/2022
3.3	APP-093	Environmental Statement Figure 8.9.2 Gazetteer Sites Discussed in the Research Framework	Latest	21/06/2022
3.3	APP-094	Environmental Statement Figure 8.10.1 A66 Project Schemes	Latest	21/06/2022
3.3	APP-095	Environmental Statement Figure 8.10.2 Historic Environment Mitigation	Latest	21/06/2022
3.3	APP-096	Environmental Statement Figure 9.1 Study Area	Latest	21/06/2022
3.3	APP-097	Environmental Statement Figure 9.2 Published Geology – Superficial Geology	Latest	21/06/2022
3.3	APP-098	Environmental Statement Figure 9.3 Published Geology – Bedrock Geology	Latest	21/06/2022
3.3	APP-099	Environmental Statement Figure 9.4 Geodiversity Sites	Latest	21/06/2022
3.3	APP-100	Environmental Statement Figure 9.5 Potential Contaminated Sources	Latest	21/06/2022
3.3	APP-101	Environmental Statement Figure 9.6 Agricultural Land Classification	Latest	21/06/2022
3.3	APP-102	Environmental Statement Figure 10.1 Landscape and Visual Context	Latest	21/06/2022
3.3	APP-103	Environmental Statement Figure 10.2 Landscape Designations	Latest	21/06/2022
3.3	APP-104	Environmental Statement Figure 10.3 Zone of Theoretical Visibility (ZTV 7km)	Latest	21/06/2022
3.3	APP-105	Environmental Statement Figure 10.4 Zone of Theoretical Visibility (ZTV 3km) and Viewpoints	Latest	21/06/2022
3.3	APP-106	Environmental Statement Figure 10.5 Landscape Character	Latest	21/06/2022
3.3	APP-107	Environmental Statement Figure 10.6 CPRE Dark Skies	Latest	21/06/2022
3.3	APP-108	Environmental Statement Figure 10.7 CPRE Tranquillity	Latest	21/06/2022
3.3	APP-109	Environmental Statement Figure 10.8 Viewpoint Photosheets	Latest	21/06/2022
3.3	APP-110	Environmental Statement Figure 10.9 Viewpoint Photomontages	Superseded	21/06/2022
3.3 (Rev 2)		Environmental Statement Figure 10.9 Viewpoint Photomontages	Latest	Deadline 4
3.3	APP-111	Environmental Statement Figure 11.1 Mineral Safeguarding Areas	Latest	21/06/2022
3.3	APP-112	Environmental Statement Figure 12.1 Operational Noise Study Areas	Latest	21/06/2022
3.3	APP-113	Environmental Statement Figure 12.2 Opening Year Do-Minimum Noise Level	Latest	21/06/2022
3.3	APP-114	Environmental Statement Figure 12.3 Opening Year Do-Something Noise Level	Latest	21/06/2022
3.3	APP-115	Environmental Statement Figure 12.4 Opening Year Alignment Noise Difference	Latest	21/06/2022

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3.3	APP-116	Environmental Statement Figure 12.5 Future Year Do-Minimum Noise Level	Latest	21/06/2022
3.3	APP-117	Environmental Statement Figure 12.6 Future Year Do-Something Noise Level	Latest	21/06/2022
3.3	APP-118	Environmental Statement Figure 12.7 Future Year Alignment Noise Difference	Latest	21/06/2022
3.3	APP-119	Environmental Statement Figure 12.8 Noise and Vibration Assessment – Location of Ecology Receptors	Latest	21/06/2022
3.3	APP-120	Environmental Statement Figure 12.9 Possible Diversion Routes	Latest	21/06/2022
3.3	APP-121	Environmental Statement Figure 13.1 Population and Human Health Study Area	Latest	21/06/2022
3.3	APP-122	Environmental Statement Figure 13.2 Residential and Private Assets, Community Facilities, Business and Tourism Receptors	Latest	21/06/2022
3.3	APP-123	Environmental Statement Figure 13.3 Local Plan and Development Land Allocations	Latest	21/06/2022
3.3	APP-124	Environmental Statement Figure 13.4 Walkers, Cyclists and Horse Rider Provision	Latest	21/06/2022
3.3	APP-125	Environmental Statement Figure 13.5 Agricultural Land Holdings	Latest	21/06/2022
3.3	APP-126	Environmental Statement Figure 14.1 Surface Water Features	Latest	21/06/2022
3.3	APP-127	Environmental Statement Figure 14.2 Existing Flood Risk	Latest	21/06/2022
3.3	APP-128	Environmental Statement Figure 14.3 Water Framework Directive (WFD) Surface Water Bodies	Latest	21/06/2022
3.3	APP-129	Environmental Statement Figure 14.4 Water Framework Directive (WFD) Groundwater Bodies	Latest	21/06/2022
3.3	APP-130	Environmental Statement Figure 14.5 Catchment Abstraction Management Strategy Areas	Latest	21/06/2022
3.3	APP-131	Environmental Statement Figure 14.6 Hydrogeological Study Areas and Features	Latest	21/06/2022
3.3	APP-132	Environmental Statement Figure 14.6.1 Hydrogeological Conceptual Model Locations	Latest	21/06/2022
3.3	APP-133	Environmental Statement Figure 14.6.2 Cutting Assessment Zone of Influence	Latest	21/06/2022
3.3	APP-134	Environmental Statement Figure 14.7 Aquifer Designations	Latest	21/06/2022
3.3	APP-135	Environmental Statement Figure 14.8 Groundwater Flooding Susceptibility	Latest	21/06/2022
3.3	APP-136	Environmental Statement Figure 14.8.1 Karst Hazard Map (Features within 2km)	Latest	21/06/2022
3.3	APP-137	Environmental Statement Figure 14.8.2 Karst Desktop Study (Features within 1km)	Latest	21/06/2022
3.3	APP-138	Environmental Statement Figure 14.8.3 Karst Survey Results	Latest	21/06/2022
3.3	APP-139	Environmental Statement Figure 14.8.4 LIDAR Enclosed Depressions	Latest	21/06/2022
3.3	APP-140	Environmental Statement Figure 14.9 Operational Flood Risk	Latest	21/06/2022
3.3	APP-141	Environmental Statement Figure 14.10 Groundwater Monitoring Locations	Latest	21/06/2022
3.3	APP-142	Environmental Statement Figure 14.11 Site Investigation Location	Latest	21/06/2022

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3.3	APP-143	Environmental Statement Figure 14.12 Potential Ground Water Dependant Terrestrial Ecosystems (GWDTE)	Latest	21/06/2022
3.3	APP-144	Environmental Statement Figure 15.1 Cumulative Zones of Influence	Latest	21/06/2022
3.3	APP-145	Environmental Statement Figure 15.2 Cumulative Developments	Latest	21/06/2022
3.4	APP-146	Environmental Statement Appendix 1.1 Evidence Plan	Latest	21/06/2022
3.4	APP-147	Environmental Statement Appendix 2.1 Traffic Modelling Report	Latest	21/06/2022
3.4	APP-148	Environmental Statement Appendix 4.1 EIA Scoping Report	Latest	21/06/2022
3.4	APP-149	Environmental Statement Appendix 4.2 EIA Scoping Opinion	Latest	21/06/2022
3.4	APP-150	Environmental Statement Appendix 5.1 Legislation, Policy, Guidance	Latest	21/06/2022
3.4	APP-151	Environmental Statement Appendix 5.2 Air Quality Assessment Methodology	Latest	21/06/2022
3.4	APP-152	Environmental Statement Appendix 5.3 Air Quality Baseline Monitoring	Latest	21/06/2022
3.4	APP-153	Environmental Statement Appendix 5.4 Air Quality Assessment Results	Superseded	21/06/2022
3.4 (Rev 2)		Environmental Statement Appendix 5.4 Air Quality Assessment Results (Clean)	Latest	Deadline 4
3.4 (Rev 2)		Environmental Statement Appendix 5.4 Air Quality Assessment Results (Tracked)	Latest	Deadline 4
3.4	APP-154	Environmental Statement Appendix 6.1 Non-significant Effects	Latest	21/06/2022
3.4	APP-155	Environmental Statement Appendix 6.2 Designated Sites	Latest	21/06/2022
3.4	APP-156	Environmental Statement Appendix 6.3 Phase 1 Habitat Survey	Latest	21/06/2022
3.4	APP-157	Environmental Statement Appendix 6.4 Hedgerow	Latest	21/06/2022
3.4	APP-158	Environmental Statement Appendix 6.5 Phase 2 National Vegetation Classification	Latest	21/06/2022
3.4	APP-159	Environmental Statement Appendix 6.6 Amphibians	Latest	21/06/2022
3.4	APP-160	Environmental Statement Appendix 6.7 Reptiles	Latest	21/06/2022
3.4	APP-161	Environmental Statement Appendix 6.8 Terrestrial Invertebrate	Latest	21/06/2022
3.4	APP-162	Environmental Statement Appendix 6.9 Badgers (CONFIDENTIAL)	Latest	21/06/2022
3.4	APP-163	Environmental Statement Appendix 6.10 Red Squirrel	Latest	21/06/2022
3.4	APP-164	Environmental Statement Appendix 6.11 Bats (CONFIDENTIAL)	Latest	21/06/2022
3.4	APP-165	Environmental Statement Appendix 6.12 Other Terrestrial Mammals	Latest	21/06/2022
3.4	APP-166	Environmental Statement Appendix 6.13 Breeding Birds	Latest	21/06/2022
3.4	APP-167	Environmental Statement Appendix 6.14 Wintering Birds	Latest	21/06/2022
3.4	APP-168	Environmental Statement Appendix 6.15 Barn Owls (CONFIDENTIAL)	Latest	21/06/2022
3.4	APP-169	Environmental Statement Appendix 6.16 Otter	Latest	21/06/2022
3.4	APP-170	Environmental Statement Appendix 6.17 Water Vole	Latest	21/06/2022
3.4	APP-171	Environmental Statement Appendix 6.18 Fish Habitat Assessment and MorPh	Latest	21/06/2022
3.4	APP-172	Environmental Statement Appendix 6.19 Fish	Latest	21/06/2022

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3.4	APP-173	Environmental Statement Appendix 6.20 Aquatic Macrophyte and River Corridor Survey	Latest	21/06/2022
3.4	APP-174	Environmental Statement Appendix 6.21 Aquatic Invertebrates	Latest	21/06/2022
3.4	APP-175	Environmental Statement Appendix 6.22 White Clawed Crayfish	Latest	21/06/2022
3.4	APP-176	Environmental Statement Appendix 7.1 Greenhouse Gas Assessment	Latest	21/06/2022
3.4	APP-177	Environmental Statement Appendix 7.2 Climate Change Resilience Assessment	Latest	21/06/2022
3.4	APP-178	Environmental Statement Appendix 8.1 Archaeological and Historical Background	Latest	21/06/2022
3.4	APP-179	Environmental Statement Appendix 8.2 Historic Landscape Baseline Report	Latest	21/06/2022
3.4	APP-180	Environmental Statement Appendix 8.3 Geoarchaeological Desk Based Assessment	Latest	21/06/2022
3.4	APP-181	Environmental Statement Appendix 8.4 AP and LiDAR Assessment	Latest	21/06/2022
3.4	APP-182	Environmental Statement Appendix 8.5 Geophysical Survey Report	Latest	21/06/2022
3.4	APP-183	Environmental Statement Appendix 8.6 Trenching Report(s)	Latest	21/06/2022
3.4	APP-184	Environmental Statement Appendix 8.7 Geochemical Survey Report	Latest	21/06/2022
3.4	APP-185	Environmental Statement Appendix 8.8 Gazetteer	Latest	21/06/2022
3.4	APP-186	Environmental Statement Appendix 8.9 Historic Environment Research Framework	Latest	21/06/2022
3.4	APP-187	Environmental Statement Appendix 8.10 Impact Assessment Table	Superseded	21/06/2022
3.4 (Rev 2)		Environmental Statement Appendix 8.10 Impact Assessment Table (Clean)	Latest	Deadline 4
3.4 (Rev 2)		Environmental Statement Appendix 8.10 Impact Assessment Table (Tracked)	Latest	Deadline 4
3.4	APP-188	Environmental Statement Appendix 9.1 Non-significant Effects	Latest	21/06/2022
3.4	APP-189	Environmental Statement Appendix 9.2 Ground Investigation Reports (GIR) – PART 1	Latest	21/06/2022
3.4	APP-190	Environmental Statement Appendix 9.2 Ground Investigation Reports (GIR) – PART 2	Latest	21/06/2022
3.4	APP-191	Environmental Statement Appendix 9.2 Ground Investigation Reports (GIR) – PART 3	Latest	21/06/2022
3.4	APP-192	Environmental Statement Appendix 9.2 Ground Investigation Reports (GIR) – PART 4	Latest	21/06/2022
3.4	APP-193	Environmental Statement Appendix 9.2 Ground Investigation Reports (GIR) – PART 5	Latest	21/06/2022
3.4	APP-194	Environmental Statement Appendix 9.3 Geology and Soils Detailed Risk Assessment and Conceptual Site Models	Latest	21/06/2022
3.4	APP-195	Environmental Statement Appendix 9.4 Preliminary Source Study Report (PSSR)	Latest	21/06/2022
3.4	APP-196	Environmental Statement Appendix 9.5 Agricultural Land Classification (ALC) Factual Soil Survey Report	Superseded	21/06/2022
3.4 (Rev 2)	REP3-027	Environmental Statement Appendix 9.5 Agricultural Land Classification (ALC) Factual Soil Survey Report (Clean)	Latest	Deadline 3
3.4 (Rev 2)	REP3-028	Environmental Statement Appendix 9.5 Agricultural Land Classification (ALC) Factual Soil Survey Report (Tracked)	Latest	Deadline 3

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3.4	APP-197	Environmental Statement Appendix 10.1 Landscape and Visual Policy and Consultation Tables	Latest	21/06/2022
3.4	APP-198	Environmental Statement Appendix 10.2 Landscape and Visual Impact Assessment (LVIA) Methodology	Latest	21/06/2022
3.4	APP-199	Environmental Statement Appendix 10.3 Landscape and Visual Study Area	Latest	21/06/2022
3.4	APP-200	Environmental Statement Appendix 10.4 Landscape Character Assessments	Latest	21/06/2022
3.4	APP-201	Environmental Statement Appendix 10.5 Schedule of Landscape Effects	Latest	21/06/2022
3.4	APP-202	Environmental Statement Appendix 10.6 Schedule of Visual Effects	Superseded	21/06/2022
3.4 (Rev 2)		Environmental Statement Appendix 10.6 Schedule of Visual Effects (Clean)	Latest	Deadline 4
3.4 (Rev 2)		Environmental Statement Appendix 10.6 Schedule of Visual Effects (Tracked)	Latest	Deadline 4
3.4	APP-203	Environmental Statement Appendix 10.7 Landscape Mitigation Schedule	Latest	21/06/2022
3.4	APP-204	Environmental Statement Appendix 10.8 Zone of Theoretical Visibility (ZTV) and Visualisation Methodology	Latest	21/06/2022
3.4	APP-205	Environmental Statement Appendix 10.9 Limits of Deviation Assessment	Latest	21/06/2022
3.4	APP-206	Environmental Statement Appendix 11.1 Operational Materials Consumption and Waste Generation Estimates	Latest	21/06/2022
3.4	APP-207	Environmental Statement Appendix 11.2 Demolition Waste Estimates	Latest	21/06/2022
3.4	APP-208	Environmental Statement Appendix 11.3 Excavation Waste Estimates	Latest	21/06/2022
3.4	APP-209	Environmental Statement Appendix 11.4 Construction Waste Estimates	Latest	21/06/2022
3.4	APP-210	Environmental Statement Appendix 11.5 Non-significant Effects	Latest	21/06/2022
3.4	APP-211	Environmental Statement Appendix 12.1 Baseline Noise Survey Results	Latest	21/06/2022
3.4	APP-212	Environmental Statement Appendix 12.2 Construction Assessment Assumptions	Latest	21/06/2022
3.4	APP-213	Environmental Statement Appendix 12.3 Construction Assessment Results	Latest	21/06/2022
3.4	APP-214	Environmental Statement Appendix 12.4 Operational Assessment Results	Latest	21/06/2022
3.4	APP-215	Environmental Statement Appendix 12.5 Non-significant Effects	Latest	21/06/2022
3.4	APP-216	Environmental Statement Appendix 12.6 Noise and Vibration Results at Ecology Receptors	Latest	21/06/2022
3.4	APP-217	Environmental Statement Appendix 13.1 Population and Human Health Non-significant Effects	Latest	21/06/2022
3.4	APP-218	Environmental Statement Appendix 13.2 Agricultural landholding baseline summary	Superseded	21/06/2022
3.4 (Rev 2)	REP3-029	Environmental Statement Appendix 13.2 Agricultural landholding baseline summary (Clean)	Latest	Deadline 3

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3.4 (Rev 2)	REP3-030	Environmental Statement Appendix 13.2 Agricultural landholding baseline summary (Tracked)	Latest	Deadline 3
3.4	APP-219	Environmental Statement Appendix 13.3 Health Evidence Literature Review	Latest	21/06/2022
3.4	APP-220	Environmental Statement Appendix 14.1 WFD Compliance Assessment	Latest	21/06/2022
3.4	APP-221	Environmental Statement Appendix 14.2 Flood Risk Assessment and Outline Drainage Strategy	Latest	21/06/2022
3.4	APP-222	Environmental Statement Appendix 14.3 Water Quality Assessment	Latest	21/06/2022
3.4	APP-223	Environmental Statement Appendix 14.4 Hydromorphology Assessment	Latest	21/06/2022
3.4	APP-224	Environmental Statement Appendix 14.5 Spillage Risk Assessment	Latest	21/06/2022
3.4	APP-225	Environmental Statement Appendix 14.6 Hydrogeological Impact Assessment	Latest	21/06/2022
3.4	APP-226	Environmental Statement Appendix 14.7 Groundwater Dependent Terrestrial Ecosystem Assessment	Latest	21/06/2022
3.4	APP-227	Environmental Statement Appendix 14.8 Desk Study Karst Risk Assessment	Latest	21/06/2022
3.4	APP-228	Environmental Statement Appendix 14.9 Detailed Geomorphological Modelling	Latest	21/06/2022
3.4	APP-229	Environmental Statement Appendix 14.10 Assessment of Value	Latest	21/06/2022
3.4	APP-230	Environmental Statement Appendix 14.11 Non-Significant Effects	Latest	21/06/2022
3.4	APP-231	Environmental Statement Appendix 15.1 Consideration of Cumulative Effects	Latest	21/06/2022
3.4	APP-232	Environmental Statement Appendix 15.2 Cumulative Assessment	Latest	21/06/2022
3.4	APP-233	Environmental Statement Appendix 15.3 In-Combination Effects Table	Latest	21/06/2022
3.5	APP-234	Habitat Regulations Assessment (HRA) Stage 1 Likely Significant Effects Report	Latest	21/06/2022
3.6	APP-245	Habitat Regulations Assessment (HRA) Stage 2 Statement to Information Appropriate Assessment	Latest	21/06/2022
3.7	APP-236	Transport Assessment	Superseded	21/06/2022
3.7 (Rev 2)	REP2-003	Transport Assessment (Clean)	Latest	Deadline 2
3.7 (Rev 2)	REP2-004	Transport Assessment (Tracked)	Latest	Deadline 2
3.8	APP-237	Combined Modelling and Appraisal Report	Latest	21/06/2022
3.8	APP-238	Combined Modelling and Appraisal Report Appendix B – Transport Data Collection Package	Latest	21/06/2022
3.8	APP-239	Combined Modelling and Appraisal Report Appendix C – Transport Model Package	Latest	21/06/2022
3.8	APP-240	Combined Modelling and Appraisal Report Appendix D – Stage 3 Transport Forecast Package	Latest	21/06/2022
3.8	APP-241	Combined Modelling and Appraisal Report Appendix E – Stage 3 Economic Appraisal	Latest	21/06/2022
3.9	APP-242	Legislation and Policy Compliance Statement	Latest	21/06/2022

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3.10	APP-243	Equalities Impact Assessment	Latest	21/06/2022
Volume 4 – Development of our Proposals				
4.1	APP-244	Project Development Overview Report	Latest	21/06/2022
4.1	APP-245	Project Development Overview Report Appendix 1 A66 Northern Trans-Pennine Project Technical Appraisal Report	Latest	21/06/2022
4.1	APP-246	Project Development Overview Report Appendix 2 A66 Northern Trans-Pennine Project Scheme Assessment Report	Latest	21/06/2022
4.1	APP-247	Project Development Overview Report Appendix 3 A66 Northern Trans-Pennine Project Route Development Report	Latest	21/06/2022
4.1	APP-248	Project Development Overview Report Appendix 4 Northern Trans-Pennine Routes Strategic Study Stage 1 Report	Latest	21/06/2022
4.1	APP-249	Project Development Overview Report Appendix 5 Northern Trans-Pennine Routes Strategic Study Stage 3 Report	Latest	21/06/2022
4.1	APP-250	Project Development Overview Report Appendix 6 Highways England Business Case A66 Schemes	Latest	21/06/2022
4.1	APP-251	Project Development Overview Report Appendix 7 Highways England Business Case A69 Schemes	Latest	21/06/2022
4.2	N/A	Application Document not used	N/A	N/A
4.3	N/A	Application Document not used	N/A	N/A
4.4	APP-252	Consultation Report	Latest	21/06/2022
4.4	APP-253	Consultation Report Annex A: Options consultation and preferred route announcement materials	Latest	21/06/2022
4.4	APP-254	Consultation Report Annex B: Project update material, winter 2020	Latest	21/06/2022
4.4	APP-255	Consultation Report Annex C: Leaflets for summer 2021 engagement activities	Latest	21/06/2022
4.4	APP-256	Consultation Report Annex D: The Infrastructure Planning (EIA Regulations) 2017 Regulation 8(1)(b) Notification of EIA development, Regulation 10 Application for a scoping option and Regulation 11 Notification of list of regulation consultation bodies	Latest	21/06/2022
4.4	APP-257	Consultation Report Annex E: Communication with host local authorities on the draft Statement of Community Consultation	Latest	21/06/2022
4.4	APP-258	Consultation Report Annex F: Summary table of responses from host local authorities on the draft Statement of Community Consultation	Latest	21/06/2022
4.4	APP-259	Consultation Report Annex G: Published Statement of Community Consultation	Latest	21/06/2022
4.4	APP-260	Consultation Report Annex H: List of prescribed consultees identified and consulted	Latest	21/06/2022

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4.4	APP-061	Consultation Report Annex I: S42 letters and enclosures with date	Latest	21/06/2022
4.4	APP-262	Consultation Report Annex J: List of S42(1)(a) consultees and extensions received	Latest	21/06/2022
4.4	APP-263	Consultation Report Annex K: S46 letter sent to the Planning Inspectorate and acknowledgement	Latest	21/06/2022
4.4	APP-264	Consultation Report Annex L: S47 Consultation Material Part 1	Latest	21/06/2022
4.4	APP-265	Consultation Report Annex L: S47 Consultation Material Part 2	Latest	21/06/2022
4.4	APP-266	Consultation Report Annex L: S47 Consultation Material Part 3	Latest	21/06/2022
4.4	APP-267	Consultation Report Annex L: S47 Consultation Material Part 4	Latest	21/06/2022
4.4	APP-268	Consultation Report Annex L: S47 Consultation Material Part 5	Latest	21/06/2022
4.4	APP-269	Consultation Report Annex L: S47 Consultation Material Part 6	Latest	21/06/2022
4.4	APP-270	Consultation Report Annex M: S48 newspaper notices with locations and dates	Latest	21/06/2022
4.4	APP-271	Consultation Report Annex N: Tables evidencing regard had to consultation responses	Latest	21/06/2022
4.4	APP-272	Consultation Report Annex O: Supplementary Consultation Material	Latest	21/06/2022
4.4	APP-273	Consultation Report Annex P: Tables evidencing regard to supplementary consultation responses	Latest	21/06/2022
4.4	APP-274	Consultation Report Annex Q: Non-compliance with SoCC	Latest	21/06/2022
4.4	APP-275	Consultation Report Annex R: List of supplementary consultation consultees	Latest	21/06/2022
4.5	APP-276	Statement of Commonality for Statements of Common Ground	Superseded	21/06/2022
4.5 (Rev 2)	REP3-037	Statement of Commonality for Statements of Common Ground	Latest	Deadline 3
4.5	APP-277	Statement of Common Ground with Cumbria County Council and Eden District Council	Superseded	21/06/2022
4.5 (Rev 2)	REP3-038	Statement of Common Ground with Cumbria County Council and Eden District Council	Latest	Deadline 3
4.5	APP-278	Statement of Common Ground with Durham County Council	Superseded	21/06/2022
4.5 (Rev 2)	REP3-031	Statement of Common Ground with Durham County Council	Latest	Deadline 3
4.5	APP-279	Statement of Common Ground with Historic England	Superseded	21/06/2022
4.5 (Rev 2)	REP3-032	Statement of Common Ground with Historic England	Latest	Deadline 3
4.5	APP-280	Statement of Common Ground with Natural England	Superseded	21/06/2022
4.5 (Rev 2)	REP3-034	Statement of Common Ground with Natural England	Latest	Deadline 3
4.5	APP-281	Statement of Common Ground with North Yorkshire County Council and Richmondshire District Council	Superseded	21/06/2022
4.5 (Rev 2)	REP3-039	Statement of Common Ground with North Yorkshire County Council and Richmondshire District Council	Latest	Deadline 3
4.5	APP-282	Statement of Common Ground with the Environment Agency	Superseded	21/06/2022
4.5 (Rev 2)	REP3-035	Statement of Common Ground with the Environment Agency	Latest	Deadline 3

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4.5	APP-283	Statement of Common Ground with the Gypsy and Travellers Representatives	Superseded	21/06/2022
4.5 (Rev 2)	REP3-036	Statement of Common Ground with the Gypsy and Travellers Representatives	Latest	Deadline 3
4.5	APP-284	Statement of Common Ground with the North Pennines AONB Partnership	Superseded	21/06/2022
4.5 (Rev 2)	REP3-033	Statement of Common Ground with the North Pennines AONB Partnership	Latest	Deadline 3
Volume 5 – Authorisation of our Proposals				
5.1	APP-285	Draft Development Consent Order	Superseded	21/06/2022
5.1 (Rev 2)	REP2-005	Revised Draft Development Consent Order (Clean)	Latest	Deadline 2
5.1 (Rev 2)	REP2-006	Revised Draft Development Consent Order (Tracked)	Latest	Deadline 2
5.2	N/A	Application Document not used	N/A	N/A
5.3	APP-286	Explanatory Memorandum	Superseded	21/06/2022
5.3 (Rev 2)	REP2-007	Updated Explanatory Memorandum (Clean)	Latest	Deadline 2
5.3 (Rev 2)	REP2-008	Updated Explanatory Memorandum (Tracked)	Latest	Deadline 2
5.4	APP-287	Consents and Agreements Position Statement	Latest	21/06/2022
5.5	APP-288	Statement of Statutory Nuisance	Latest	21/06/2022
5.6	APP-289	Funding Statement	Latest	21/06/2022
5.7	APP-290	Book of Reference	Latest	21/06/2022
5.7	APP-291	Book of Reference – Volume One: Scheme 0102: M6 Junction 40 to Kemplay Bank	Superseded	21/06/2022
5.7 (Updated)	AS-015	Updated Book of Reference – Volume One: Scheme 0102: M6 Junction 40 to Kemplay Bank (Clean)	Latest	02/09/2022
5.7 (Updated)	AS-023	Updated Book of Reference – Scheme 0102: M6 Junction 40 to Kemplay Bank – Part 1 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-024	Updated Book of Reference – Scheme 0102: M6 Junction 40 to Kemplay Bank – Part 2 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-025	Updated Book of Reference – Scheme 0102: M6 Junction 40 to Kemplay Bank – Part 3 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-026	Updated Book of Reference – Scheme 0102: M6 Junction 40 to Kemplay Bank – Part 5 (Tracked)	Latest	02/09/2022
5.7	APP-292	Book of Reference – Volume Two: Scheme 03: Penrith to Temple Sowerby	Superseded	21/06/2022
5.7 (Updated)	AS-016	Updated Book of Reference – Volume Two: Scheme 03: Penrith to Temple Sowerby (Clean)	Latest	02/09/2022
5.7 (Updated)	AS-027	Updated Book of Reference – Scheme 03: Penrith to Temple Sowerby – Part 1 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-028	Updated Book of Reference – Scheme 03: Penrith to Temple Sowerby – Part 3 (Tracked)	Latest	02/09/2022
5.7	APP-293	Book of Reference – Volume Three: Scheme 0405: Temple Sowerby to Appleby	Superseded	21/06/2022

Application Document Reference	Examination Document Library Reference	Name of Document	Version	Examination Deadline /Submission Date
5.7 (Updated)	AS-017	Updated Book of Reference – Volume Three: Scheme 0405: Temple Sowerby to Appleby (Clean)	Latest	02/09/2022
5.7 (Updated)	AS-029	Updated Book of Reference – Scheme 0405: Temple Sowerby to Appleby – Part 1 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-030	Updated Book of Reference – Scheme 0405: Temple Sowerby to Appleby – Part 2 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-031	Updated Book of Reference – Scheme 0405: Temple Sowerby to Appleby – Part 3 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-032	Updated Book of Reference – Scheme 0405: Temple Sowerby to Appleby – Part 5 (Tracked)	Latest	02/09/2022
5.7	APP-294	Book of Reference – Volume Four: Scheme 06: Appleby to Brough	Superseded	21/06/2022
5.7 (Updated)	AS-018	Updated Book of Reference – Volume Four: Scheme 06: Appleby to Brough (Clean)	Superseded	02/09/2022
5.7 (P03)	REP2-009	Updated Book of Reference – Volume Four: Scheme 06 Appleby to Brough	Latest	Deadline 2
5.7 (Updated)	AS-033	Updated Book of Reference – Scheme 06: Appleby to Brough – Part 1 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-034	Updated Book of Reference – Scheme 06: Appleby to Brough – Part 3 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-035	Updated Book of Reference – Scheme 06: Appleby to Brough – Part 4 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-036	Updated Book of Reference – Scheme 06: Appleby to Brough – Part 5 (Tracked)	Superseded	02/09/2022
5.7 (Rev 2)	REP2-010	Updated Book of Reference – Scheme 06: Appleby to Brough – Part 5 (Clean)	Latest	Deadline 2
5.7 (Rev 2)	REP2-011	Updated Book of Reference – Scheme 06: Appleby to Brough – Part 5 (Tracked)	Latest	Deadline 2
5.7	APP-295	Book of Reference – Volume Five: Scheme 07: Bowes Bypass	Superseded	21/06/2022
5.7 (Updated)	AS-019	Updated Book of Reference – Volume Five: Scheme 07: Bowes Bypass (Clean)	Latest	02/09/2022
5.7 (Updated)	AS-037	Updated Book of Reference – Scheme 07: Bowes Bypass – Part 1 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-038	Updated Book of Reference – Scheme 07: Bowes Bypass – Part 2 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-039	Updated Book of Reference – Scheme 07: Bowes Bypass – Part 3 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-040	Updated Book of Reference – Scheme 07: Bowes Bypass – Part 4 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-041	Updated Book of Reference – Scheme 07: Bowes Bypass – Part 5 (Tracked)	Latest	02/09/2022
5.7	APP-296	Book of Reference – Volume Six: Scheme 08: Cross Lanes to Rokeby	Superseded	21/06/2022
5.7 (Updated)	AS-020	Updated Book of Reference – Volume Six: Scheme 08: Cross Lanes to Rokeby (Clean)	Latest	02/09/2022
5.7 (Updated)	AS-042	Updated Book of Reference – Scheme 08: Cross Lanes to Rokeby– Part 1 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-043	Updated Book of Reference – Scheme 08: Cross Lanes to Rokeby– Part 3 (Tracked)	Latest	02/09/2022
5.7	APP-297	Book of Reference – Volume Seven: Scheme 09: Stephen Bank to Carkin Moor	Superseded	21/06/2022
5.7 (Updated)	AS-021	Updated Book of Reference – Volume Seven: Scheme 09: Stephen Bank to Carkin Moor (Clean)	Latest	02/09/2022

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5.7 (Updated)	AS-044	Updated Book of Reference – Scheme 09: Stephen Bank to Carkin Moor – Part 1 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-045	Updated Book of Reference – Scheme 09: Stephen Bank to Carkin Moor – Part 3 (Tracked)	Latest	02/09/2022
5.7	APP-298	Book of Reference – Volume Eight: Scheme 11: A1(M) Junction 53 Scotch Corner	Superseded	21/06/2022
5.7 (Updated)	AS-022	Updated Book of Reference – Volume Eight: Scheme 11: A1(M) Junction 53 Scotch Corner (Clean)	Latest	02/09/2022
5.7 (Updated)	AS-046	Updated Book of Reference – Scheme 11: A1(M) Junction 53 Scotch Corner – Part 1 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-047	Updated Book of Reference – Scheme 11: A1(M) Junction 53 Scotch Corner – Part 2 (Tracked)	Latest	02/09/2022
5.7 (Updated)	AS-048	Updated Book of Reference – Scheme 11: A1(M) Junction 53 Scotch Corner – Part 3 (Tracked)	Latest	02/09/2022
5.8	APP-299	Statement of Reasons	Superseded	21/06/2022
5.8 (Rev 2)	REP2-012	Statement of Reasons (Clean)	Latest	Deadline 2
5.8 (Rev 2)	REP2-013	Statement of Reasons (Tracked)	Latest	Deadline 2
5.9	APP-300	Compulsory Acquisition and Temporary Possession Schedule	Latest	21/06/2022
5.10	APP-301	Schedule of Negotiations	Latest	21/06/2022
5.11	APP-302	Project Design Principles	Superseded	21/06/2022
5.11 (Rev 2)	REP3-040	Project Design Principles (Clean)	Latest	Deadline 3
5.11 (Rev 2)	REP3-041	Project Design Principles (Tracked)	Latest	Deadline 3
5.12	APP-303	Location Plan	Latest	21/06/2022
5.13	APP-304	Land Plans Scheme 0102 M6 Junction 40 to Kemplay Bank	Superseded	21/06/2022
5.13 (Updated)	AS-013	Updated Land Plans Scheme 0102 M6 Junction 40 to Kemplay Bank	Latest	02/09/2022
5.13	APP-305	Land Plans Scheme 03 Penrith to Temple Sowerby	Latest	21/06/2022
5.13	APP-306	Land Plans Scheme 0405 Temple Sowerby to Appleby	Superseded	21/06/2022
5.13 (Updated)	AS-014	Updated Land Plans Scheme 0405 Temple Sowerby to Appleby	Latest	02/09/2022
5.13	APP-307	Land Plans Scheme 06 Appleby to Brough	Latest	21/06/2022
5.13	APP-308	Land Plans Scheme 07 Bowes Bypass	Latest	21/06/2022
5.13	APP-309	Land Plans Scheme 08 Cross Lanes to Rokeby	Latest	21/06/2022
5.13	APP-310	Land Plans Scheme 09 Stephen Bank to Carkin Moor	Latest	21/06/2022
5.13	APP-311	Land Plans Scheme 11 A1(M) Junction 53 Scotch Corner	Latest	21/06/2022
5.14	APP-312	Crown Land Plans Scheme 06 Appleby to Brough	Latest	21/06/2022

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5.14	APP-313	Crown Land Plans Scheme 07 Bowes Bypass	Latest	21/06/2022
5.15	APP-314	Special Category Crown Land Plans Scheme 0102 M6 Junction 40 to Kemplay Bank	Latest	21/06/2022
5.15	APP-315	Special Category Crown Land Plans Scheme 0405 Temple Sowerby to Appleby	Latest	21/06/2022
5.15	APP-316	Special Category Crown Land Plans Scheme 06 Appleby to Brough	Superseded	21/06/2022
5.15 (Rev 1)	REP2-014	Special Category Crown Land Plans Scheme 06 Appleby to Brough	Latest	Deadline 2
5.15	APP-317	Special Category Crown Land Plans Scheme 07 Bowes Bypass	Latest	21/06/2022
5.16	APP-318	Works Plans Scheme 0102 M6 Junction 40 to Kemplay Bank	Latest	21/06/2022
5.16	APP-319	Works Plans Scheme 03 Penrith to Temple Sowerby	Latest	21/06/2022
5.16	APP-320	Works Plans Scheme 0405 Temple Sowerby to Appleby	Latest	21/06/2022
5.16	APP-321	Works Plans Scheme 06 Appleby to Brough	Superseded	21/06/2022
5.16 (Updated)	AS-012	Updated Works Plans Scheme 06 Appleby to Brough, Sheet 2 of 6	Latest	02/09/2022
5.16	APP-322	Works Plans Scheme 07 Bowes Bypass	Latest	21/06/2022
5.16	APP-323	Works Plans Scheme 08 Cross Lanes to Rokeby	Latest	21/06/2022
5.16	APP-324	Works Plans Scheme 09 Stephen Bank to Carkin Moor	Latest	21/06/2022
5.16	APP-325	Works Plans Scheme 11 A1(M) Junction 53 Scotch Corner	Latest	21/06/2022
5.17	APP-326	Engineering Section Drawings Plan and Profiles Scheme 0102 M6 Junction 40 to Kemplay Bank	Latest	21/06/2022
5.17	APP-327	Engineering Section Drawings Plan and Profiles Scheme 03 Penrith to Temple Sowerby	Latest	21/06/2022
5.17	APP-328	Engineering Section Drawings Plan and Profiles Scheme 0405 Temple Sowerby to Appleby	Latest	21/06/2022
5.17	APP-329	Engineering Section Drawings Plan and Profiles Scheme 06 Appleby to Brough	Latest	21/06/2022
5.17	APP-330	Engineering Section Drawings Plan and Profiles Scheme 07 Bowes Bypass	Latest	21/06/2022
5.17	APP-331	Engineering Section Drawings Plan and Profiles Scheme 08 Cross Lanes to Rokeby	Latest	21/06/2022
5.17	APP-332	Engineering Section Drawings Plan and Profiles Scheme 09 Stephen Bank to Carkin Moor	Latest	21/06/2022
5.17	APP-333	Engineering Section Drawings Plan and Profiles Scheme 11 A1(M) Junction 53 Scotch Corner	Latest	21/06/2022
5.18	APP-334	Engineering Section Drawings Cross-Sections - Scheme 0102 M6 Junction 40 to Kemplay Bank	Latest	21/06/2022
5.18	APP-335	Engineering Section Drawings Cross-Sections – Scheme 03 Penrith to Temple Sowerby	Latest	21/06/2022
5.18	APP-336	Engineering Section Drawings Cross Sections – Scheme 0405 Temple Sowerby to Appleby	Latest	21/06/2022
5.18	APP-337	Engineering Section Drawings Cross Sections – Scheme 06 Appleby to Brough	Latest	21/06/2022

Application Document Reference	Examination Document Library Reference	Name of Document	Version	Examination Deadline /Submission Date
5.18	APP-338	Engineering Section Drawings Cross Sections – Scheme 07 Bowes Bypass	Latest	21/06/2022
5.18	APP-339	Engineering Section Drawings Cross Sections – Scheme 08 Cross Lanes to Rokeby	Latest	21/06/2022
5.18	APP-340	Engineering Section Drawings Cross Sections – Scheme 09 Stephen Bank to Carkin Moor	Latest	21/06/2022
5.18	APP-341	Engineering Section Drawings Cross Sections – Scheme 11 A1(M) Junction 53 Scotch Corner	Latest	21/06/2022
5.19	APP-342	Rights of Way and Access Plans Scheme 0102 M6 Junction 40 to Kemplay Bank	Latest	21/06/2022
5.19	APP-343	Rights of Way and Access Plans Scheme 03 Penrith to Temple Sowerby	Latest	21/06/2022
5.19	APP-344	Rights of Way and Access Plans Scheme 0405 Temple Sowerby to Appleby	Latest	21/06/2022
5.19	APP-345	Rights of Way and Access Plans Scheme 06 Appleby to Brough	Latest	21/06/2022
5.19	APP-346	Rights of Way and Access Plans Scheme 07 Bowes Bypass	Latest	21/06/2022
5.19	APP-347	Rights of Way and Access Plans Scheme 08 Cross Lanes to Rokeby	Latest	21/06/2022
5.19	APP-348	Rights of Way and Access Plans Scheme 09 Stephen Bank to Carkin Moor	Latest	21/06/2022
5.19	APP-349	Rights of Way and Access Plans Scheme 11 A1(M) Junction 53 Scotch Corner	Latest	21/06/2022
5.20	APP-350	Classification of Roads Plan Scheme 0102 M6 Junction 4 to Kemplay Bank	Latest	21/06/2022
5.20	APP-351	Classification of Roads Plan Scheme 03 Penrith to Temple Sowerby	Latest	21/06/2022
5.20	APP-352	Classification of Roads Plan Scheme 0405 Temple Sowerby to Appleby	Latest	21/06/2022
5.20	APP-353	Classification of Roads Plan Scheme 06 Appleby to Brough	Latest	21/06/2022
5.20	APP-354	Classification of Roads Plan Scheme 07 Bowes Bypass	Latest	21/06/2022
5.20	APP-355	Classification of Roads Plan Scheme 08 Cross Lanes to Rokeby	Latest	21/06/2022
5.20	APP-356	Classification of Roads Plan Scheme 09 Stephen Bank to Carkin Moor	Latest	21/06/2022
5.21	APP-357	De Trunking Plans Scheme 0102 M6 Junction 40 to Kemplay Bank	Latest	21/06/2022
5.21	APP-358	De Trunking Plans Scheme 03 Penrith to Temple Sowerby	Latest	21/06/2022
5.21	APP-359	De Trunking Plans Scheme 0405 Temple Sowerby to Appleby	Latest	21/06/2022
5.21	APP-360	De Trunking Plans Scheme 06 Appleby to Brough	Latest	21/06/2022
5.21	APP-361	De Trunking Plans Scheme 07 Bowes Bypass	Latest	21/06/2022
5.21	APP-362	De Trunking Plans Scheme 08 Cross Lanes to Rokeby	Latest	21/06/2022
5.21	APP-363	De Trunking Plans Scheme 09 Stephen Bank to Carkin Moor	Latest	21/06/2022
5.22	APP-364	Traffic Regulation Measures Clearways and Prohibitions Plans Scheme 0102 M6 Junction 40 to Kemplay Bank	Latest	21/06/2022
5.22	APP-365	Traffic Regulation Measures Clearways and Prohibitions Plans Scheme 03 Penrith to Temple Sowerby	Latest	21/06/2022

Application Document Reference	Examination Document Library Reference	Name of Document	Version	Examination Deadline /Submission Date
5.22	APP-366	Traffic Regulation Measures Clearways and Prohibitions Plans Scheme 0405 Temple Sowerby to Appleby	Latest	21/06/2022
5.22	APP-367	Traffic Regulation Measures Clearways and Prohibitions Plans Scheme 06 Appleby to BroughF	Latest	21/06/2022
5.22	APP-368	Traffic Regulation Measures Clearways and Prohibitions Plans Scheme 07 Bowes Bypass	Latest	21/06/2022
5.22	APP-369	Traffic Regulation Measures Clearways and Prohibitions Plans Scheme 08 Cross Lanes to Rokeby	Latest	21/06/2022
5.22	APP-370	Traffic Regulation Measures Clearways and Prohibitions Plans Scheme 09 Stephen Bank to Carkin Moor	Latest	21/06/2022
5.23	APP-371	Traffic Regulation Measures Speed Limits Plans Scheme 0102 M6 Junction 40 to Kemplay Bank	Latest	21/06/2022
5.23	APP-372	Traffic Regulation Measures Speed Limits Plans Scheme 03 Penrith to Temple Sowerby	Latest	21/06/2022
5.23	APP-373	Traffic Regulation Measures Speed Limits Plans Scheme 0405 Temple Sowerby to Appleby	Latest	21/06/2022
5.23	APP-374	Traffic Regulation Measures Speed Limits Plans Scheme 06 Appleby to Brough	Latest	21/06/2022
5.23	APP-375	Traffic Regulation Measures Speed Limits Plans Scheme 07 Bowes Bypass	Latest	21/06/2022
5.23	APP-376	Traffic Regulation Measures Speed Limits Plans Scheme 08 Cross Lanes to Rokeby	Latest	21/06/2022
5.23	APP-377	Traffic Regulation Measures Speed Limits Plans Scheme 09 Stephen Bank to Carkin Moor	Latest	21/06/2022
5.24	APP-378	Tree Preservation Order Trees Location Plans Scheme 0102 M6 Junction 40 to Kemplay Bank	Latest	21/06/2022
5.24	APP-379	Tree Preservation Order Trees Location Plans Scheme 06 Appleby to Brough	Latest	21/06/2022
5.24	APP-380	Tree Preservation Order Trees Location Plans Scheme 09 Stephen Bank to Carkin Moor		21/06/2022
Volume 6 – Pre-Examination Submissions				
6.1 (Rev 2)	AS-009	Response to Procedural Decisions concerning requests for additional information: Errata Report	Superseded	02/09/2022
6.1 (Rev 2)	REP3-042	Errata Report	Latest	Deadline 3
6.2	AS-008	Response to Procedural Decisions concerning requests for additional information: Covering Letter dated 2 September 2022	Latest	02/09/2022
6.3	PDL-001	Responses to the Rule 6 Letter	Latest	16/10/2022
6.4	PDL-002	Response to ExA's Procedural Decision PD-006 in Rule 6 Letter - General Scheme Outline Plans – Submission of additional or updated drawings as requested in Annex D	Latest	16/10/2022

Application Document Reference	Examination Document Library Reference	Name of Document	Version	Examination Deadline /Submission Date
6.4	PDL-003	Response to ExA's Procedural Decision PD-006 in Rule 6 Letter - General Scheme Outline Plans – Submission of additional or updated drawings as requested in Annex D – Part 2	Latest	16/10/2022
6.4	PDL-004	Response to ExA's Procedural Decision PD-006 in Rule 6 Letter – General Scheme Outline Plans – Submission of additional or updated drawings as requested in Annex D – Part 2	Latest	16/10/2022
6.4	PDL-005	Response to ExA's Procedural Decision PD-006 in Rule 6 Letter - General Scheme Outline Plans - Submission of additional or updated drawings as requested in Annex D - Part 3	Latest	16/10/2022
6.4	PDL-006	Response to ExA's Procedural Decision PD-006 in Rule 6 Letter - General Scheme Outline Plans - Submission of additional or updated drawings as requested in Annex D - Part 4	Latest	16/10/2022
6.4	PDL-007	Response to ExA's Procedural Decision PD-006 in Rule 6 Letter - General Scheme Outline Plans - Submission of additional or updated drawings as requested in Annex D - Part 5	Latest	16/10/2022
6.4	PDL-008	Response to ExA's Procedural Decision PD-006 in Rule 6 Letter - General Scheme Outline Plans - Submission of additional or updated drawings as requested in Annex D - Part 6	Latest	16/10/2022
6.4	PDL-009	Response to ExA's Procedural Decision PD-006 in Rule 6 Letter - General Scheme Outline Plans - Submission of additional or updated drawings as requested in Annex D - Part 7	Latest	16/10/2022
6.5	PDL-010	Applicant's Response to Relevant Representations Part 1 of 4	Latest	16/10/2022
6.5	PDL-011	Applicant's Response to Relevant Representations Part 2 of 4	Latest	16/10/2022
6.5	PDL-012	Applicant's Response to Relevant Representations Part 3 of 4	Latest	16/10/2022
6.5	PDL-013	Applicant's Response to Relevant Representations Part 4 of 4	Latest	16/10/2022
6.6	PDL-013.1	Applicant's Response to Relevant Representations Addendum and Errata	Latest	28/11/2022
Volume 7: Examination Submissions				
N/A	REP1-001	Deadline 1 Submission - Cover Letter	Latest	Deadline 1
7.1	REP1-005	Applicant's Responses to the Examining Authority's Issue Specific Hearing 2 Additional Questions	Latest	Deadline 1
7.2	REP1-006	Issue Specific Hearing 1 (ISH1) Post Hearing Submissions (including written submissions of oral case)	Latest	Deadline 1

Application Document Reference	Examination Document Library Reference	Name of Document	Version	Examination Deadline /Submission Date
7.3	REP1-009	Issue Specific Hearing 2 (ISH2) Post Hearing Submissions (including written submissions of oral case)	Latest	Deadline 1
7.4	REP1-007	Compulsory Acquisition Hearing 1 (CAH1) Post Hearing Submissions (including written submissions of oral case)	Latest	Deadline 1
7.5	REP1-008	Letter notifying the Examining Authority of the intention to make design changes	Latest	Deadline 1
N/A	REP2-001	Deadline 2 Submission - Cover Letter	Latest	Deadline 2
7.6	REP2-015	Applicant's Response to Written Representations made by Affected Persons at Deadline 1	Latest	Deadline 2
7.7	REP2-016	Applicant's Response to Written Representations made by Interested Parties subject to an SoCG at Deadline 1	Latest	Deadline 2
7.8	REP2-017	Applicant's Response to Written Representations made by other Interested Parties at Deadline 1	Latest	Deadline 2
7.9	REP2-018	Applicant's Comments on Local Impact Reports	Latest	Deadline 2
7.10	REP2-019	Applicant's Examination Responses Navigator	Latest	Deadline 2
7.11	REP2-020	Compulsory Acquisition Status of Negotiations Schedule (Clean)	Latest	Deadline 2
7.11	REP2-021	Compulsory Acquisition Status of Negotiations Schedule (Tracked Changes)	Latest	Deadline 2
7.12	REP2-022	Statutory Undertakers Status of Negotiations Schedule	Latest	Deadline 2
7.13	REP2-023	Applicant's Draft Itinerary for the Accompanied Site Inspection	Latest	Deadline 2
N/A	REP3-001	Deadline 3 Submission – Cover Letter	Latest	Deadline 3
7.14	REP3-043	Outline Carbon Strategy	Latest	Deadline 3
7.15	REP3-044	Issue Specific Hearing 1 (ISH1) Post Hearing Submission – Response to Examining Authority's Request Under Agenda Item 2.1: The Sills Complementary Environmental Consideration	Latest	Deadline 3
7.16	REP3-045	Brough Hill Fair Technical Note	Latest	Deadline 3
7.17	REP3-046	Overview of Design Process for Trout Beck Bridge, Cringle Beck Viaduct and Moor Beck Viaduct	Latest	Deadline 3
7.18	REP3-047	Environmental Management Plan (1 st Iteration) Change Log	Latest	Deadline 3
7.19	REP3-048	Project Design Principles Change Log	Latest	Deadline 3
7.20	REP3-049	National Vegetation Classification Survey Report	Latest	Deadline 3
7.20	REP3-050	National Vegetation Classification Survey Report – Figures 1 - 15	Latest	Deadline 3
7.21	REP3-051	National Vegetation Classification Report Supporting Technical Note	Latest	Deadline 3
7.22	REP3-052	Statement of Common Ground with Defence Infrastructure Organisation	Latest	Deadline 3
7.23	REP3-053	Statement of Common Ground with Sport England	Latest	Deadline 3

Application Document Reference	Examination Document Library Reference	Name of Document	Version	Examination Deadline /Submission Date
N/A		Deadline 4 Submission – Cover Letter	Latest	Deadline 4
7.24		Applicant's Response to the Examining Authority's Written Questions	Latest	Deadline 4
7.25		Tree Loss and Compensation Planting Report	Latest	Deadline 4
7.26		Statement of Significance of Human Health Effects	Latest	Deadline 4
7.27		Applicant's Response to Deadline 3 Submissions	Latest	Deadline 4
7.28		Viaduct Visualisations Technical Note	Latest	Deadline 4
7.28		Viaduct Visualisations: View A	Latest	Deadline 4
7.28		Viaduct Visualisations: View B	Latest	Deadline 4
7.28		Viaduct Visualisations: View D	Latest	Deadline 4
7.28		Viaduct Visualisations: View E	Latest	Deadline 4
7.28		Viaduct Visualisations: View F	Latest	Deadline 4